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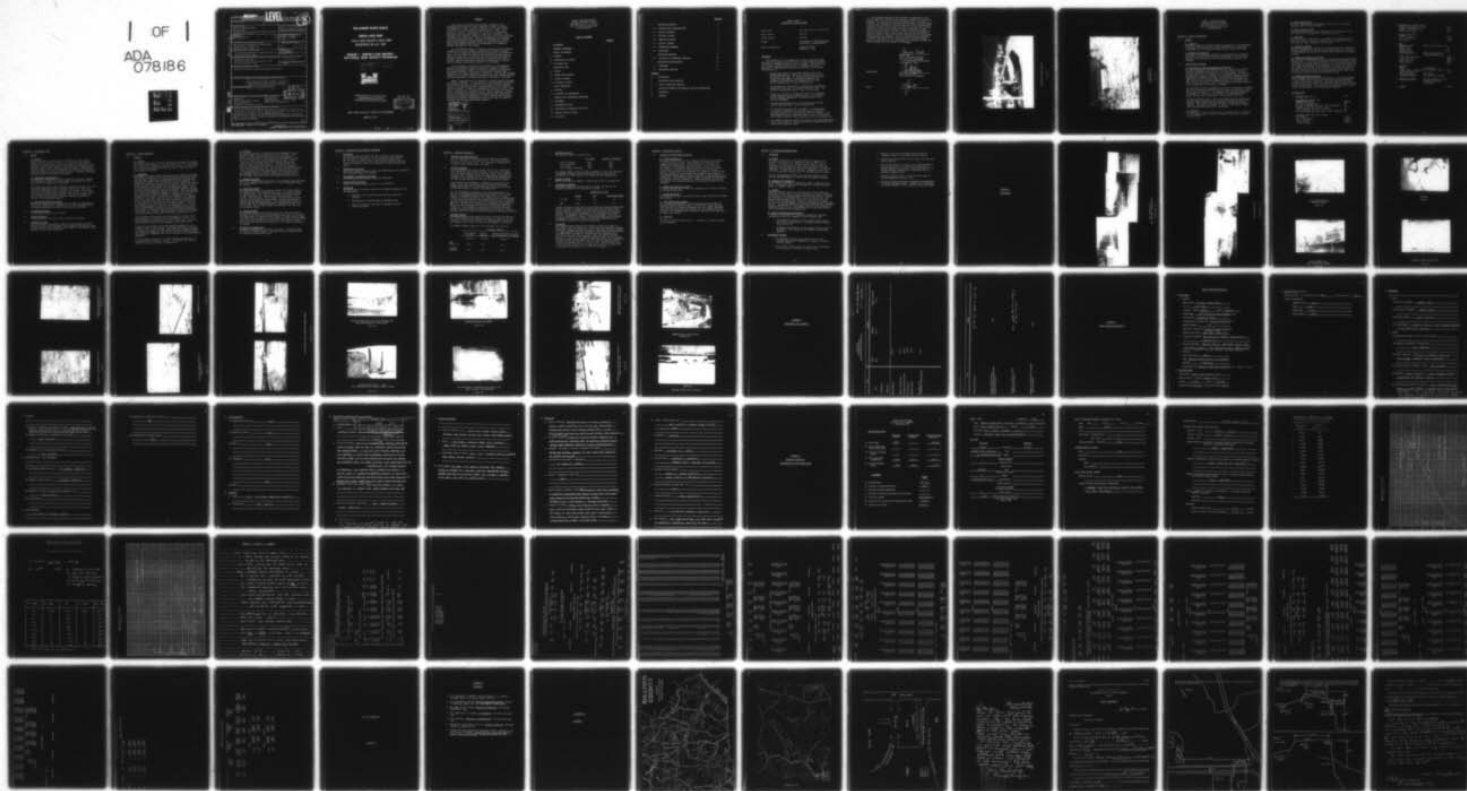
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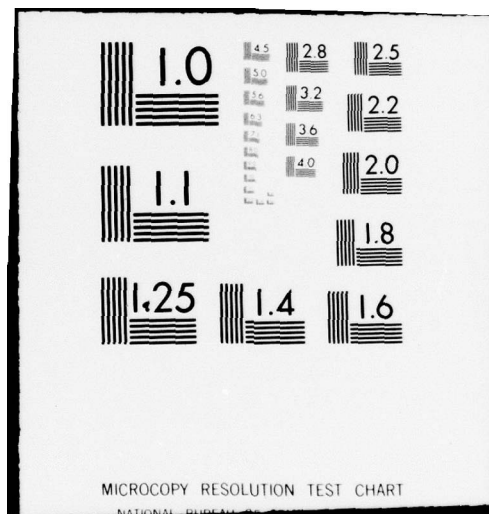
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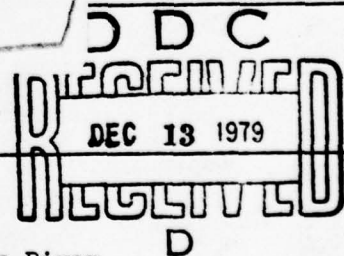
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20. ABSTRACT (Continue on reverse side if necessary and identify by block number) This report provides information and analysis on the physical condition of the dam as of the report date. Information and analysis are based on visual inspection of the dam by the performing organization. Swan Lake Dam was found to have no conditions which constitute an immediate threat to life or property. However, a number of deficiencies were found which require immediate attention.		

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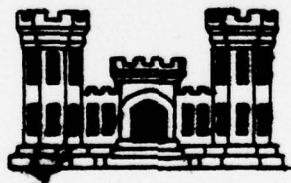
SWAN LAKE DAM

SULLIVAN COUNTY, NEW YORK

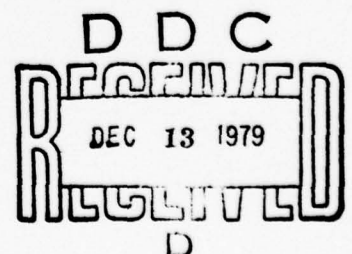
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PHASE I INSPECTION REPORT NATIONAL DAM SAFETY PROGRAM

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NEW YORK DISTRICT CORPS OF ENGINEERS

MARCH, 1979

PREFACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams, for Phase I Investigations. Copies of these guidelines may be obtained from the Office of Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I Investigation is to identify expeditiously those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigation, and analyses involving topographic mapping, subsurface investigations, testing, and detailed computational evaluations are beyond the scope of a Phase I Investigation; however, the investigation is intended to identify any need for such studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the Spillway Test flood is based on the estimated "Probably Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. Because of the magnitude and rarity of such a storm event, a finding that a spillway will not pass the test flood should not be interpreted as necessarily posing a highly inadequate condition. The test flood provides a measure of relative spillway capacity and serves as an aide in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

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PHASE 1 INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
SWAN LAKE DAM I.D. No. NY 333
DEC #279 DELAWARE RIVER BASIN
SULLIVAN COUNTY

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PHASE 1 REPORT
NATIONAL DAM SAFETY PROGRAM

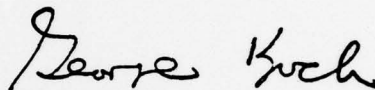
Name of Dam:	Swan Lake Dam (I.D. No. NY 333)
State Located:	New York
County Located:	Sullivan
Stream:	West Branch of the Mongaup River (tributary of Delaware River)
Dates of Inspection:	October 19, 1978 February 27, 1979

[cont'd from p. 1] ASSESSMENT

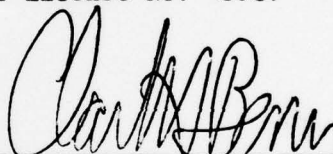
→ The examination of the documents and visual inspection of Swan Lake Dam and appurtenant structures did not reveal conditions which constitute an immediate hazard to life or property. The dam however, has a number of deficiencies which if not remedied may have the potential for developing into hazardous conditions. The following deficiencies are:

1. The spillway capacity is severely limited; 0.7% of the Probable Maximum Flood (PMF) with stop logs and 5% of the PMF without stop logs. To increase spillway capacity and prevent the development of hazardous conditions, the abandoned spillway bridge and suspended utility lines must be removed within 1 year of notification.
2. The spillway stop logs should be replaced with collapsable flashboards which fail at a specific reservoir level. This should be accomplished within 1 year of notification.
3. Erosion at the base of the metal bin wall of the abandoned bridge approach near the southeast corner of the spillway must be repaired immediately to prevent failure of the approach embankment.
4. Cracking and deterioration of the spillway slab and walls must be repaired within 1 year of notification.
5. The existing reservoir drain is plugged. An investigation is required to determine if this drain can be returned to operating condition or a new reservoir drain is required. This investigation should be completed within 1 year of notification and a working reservoir drain installed within the following year.
6. At bi-monthly intervals, monitor the bulge on the downstream masonry face in the vicinity of the penstock and the seepage from the penstock and the reservoir drain.

The discharge capacity of the spillway is inadequate for all flow in excess of 0.7% of the PMF (spillway capacity = 130 cfs) with stoplogs and 5% of the PMF (spillway capacity = 950 cfs) without stoplogs. The spillway is not considered seriously inadequate, based on the Corps of Engineer's Screening Criteria, since the hydrologic/hydraulic analysis indicates that a failure of the dam would not significantly increase the flooding potential downstream over that which would occur before a failure. Since the spillway capacity is severely limited, items 1 and 2 listed above must be undertaken to insure the safety of the structure and limit flooding of the adjacent upstream bridge and highways. Until such measures are undertaken, continuous monitoring of the structure must be initiated during periods of high run-off and a contingency plan adopted to inform the proper authorities in the event of overtopping.



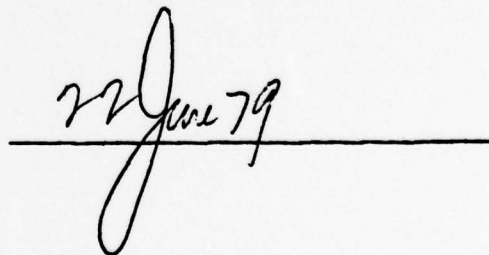
George Koch
Chief, Dam Safety Section
New York State Department
of Environmental Conservation
NY License No. 45937



Col. Clark H. Benn
New York District Engineer

Approved By:

Date:





OVERVIEW OF SWAN LAKE DAM

Photo #1



SWAN LAKE DAM
DOWNSTREAM FACE

PHASE 1 INSPECTION REPORT
NATIONAL DAM SAFETY PROGRAM
SWAN LAKE DAM I.D. No. NY 333
DEC #279 DELAWARE RIVER BASIN
SULLIVAN COUNTY

SECTION 1: PROJECT INFORMATION

1.1 GENERAL

a. Authority

The Phase 1 inspection reported herein was authorized by the Department of the Army, New York District, Corps of Engineers, to fulfill the requirements of the National Dam Inspection Act, Public Law 92-367.

b. Purpose of Inspection

Evaluation of the existing conditions of the subject dam to identify deficiencies and hazardous conditions, determine if they constitute hazards to life and property and recommend remedial measures where necessary.

1.2 DESCRIPTION OF PROJECT

a. Description of Dam and Appurtenant Structures

→ The Swan Lake Dam was constructed in 1894 and consists of a 112 feet long earth embankment with rockfill core and a 23 feet wide drop spillway located on the eastern side of the embankment. The dam is 31 feet high. There is a bridge over the spillway channel and a mill immediately downstream of the dam. The bridge was abandoned after completion of a new road and bridge located 39 feet upstream of the dam. The mill has also been abandoned and only the foundation and parts of the walls remain. The 3.5 feet diameter steel pipe (Penstock) which supplied water to the mill is plugged.

The upstream side of the embankment was filled to accommodate a highway, gas station and other facilities such that the top width of the embankment is now over 100 feet. The upstream slope of the embankment is approximately 1 vertical to 2 horizontal. The downstream slope is nearly vertical and is protected by a stone masonry face wall.

The lake level was raised 4 feet by the installation of stoplogs and concrete support piers which are located at the upstream face of the spillway. This installation has drastically reduced the spillway capacity. (See sketch in Appendix C - page 7). The 3½ feet diameter steel pipe reservoir drain is not operational.

b. Location

Swan Lake Dam is located on West Branch of Mongaup River, a tributary of the Delaware River. The dam is situated in the Town of Liberty, Sullivan County.

c. Size Classification

The dam is classified as "intermediate" size, due to the storage capacity (1000 to 50,000 acre-feet).

d. Hazard Classification

The dam is classified as "high" hazard because the Villages of Swan Lake and Bethel lie immediately downstream of the dam.

e. Ownership

The dam is jointly owned by Mr. A. Lowenthal, Mr. Edward Brinn and Mrs. Sylvia Brinn. The dam is operated by Mr. Edward Brinn, Box 267, Swan Lake, NY 12783; Telephone (914) 292-4567.

f. Purpose of the Dam

The dam was originally constructed to provide recreational facilities and power generation for the mill at the base of the dam. The mill is now abandoned and the dam is currently used solely for recreational purposes.

g. Design and Construction History

The original dam constructed in 1894 was reconstructed sometime between 1894 and 1919. A 20 feet wide bridge placed over the spillway section connected the Sullivan County Route 142 to the New York State Route 55. This bridge was abandoned after completion of a new bridge constructed about 1962, approximately 39 feet upstream of the dam. According to Mr. Edward Brinn, the piers and stoplogs at the upstream edge of the spillway, have been in place since the beginning of this century and the spillway section was last reconstructed in 1962.

h. Normal Operating Procedures

Water releases from the Swan Lake pass over the 4 feet high stoplogs to the spillway apron and from the apron, water falls approximately 15 feet to the natural stream bed. The owner removes one or more stoplogs during heavy rainfall and runoff in order to prevent flooding of the adjacent areas. All stoplogs are removed during the fall and winter for maintenance and flood protection purposes.

1.3 PERTINENT DATA

a. <u>Drainage Area</u> (sq. mi.)	14.54
b. <u>Discharge at Dam Site</u> (cfs)	
Maximum known flood	Unknown
Spillway at maximum pool	950
Spillway at maximum pool with 4 feet flashboards	130
Maximum capacity of reservoir drain	0
Total discharge, max. pool, El. 1333.0	950
Total discharge, max. pool, with 4 feet flashboards	130
c. <u>Elevation</u> (feet above MSL - Datum)	
Top of dam	1,333.0
Top of flashboards	1,330.0
Top of spillway	1,326.0
Tailrace channel	1,302.0

- d. Reservoir (at spillway crest)
- | | |
|-------------------------------|------|
| Length of maximum pool, miles | 2.84 |
| Length of shoreline, miles | 6.82 |
| Surface area, acres | 327 |
- e. Storage (acre-feet)
- | | |
|--------------------|-------|
| Spillway crest | 2,170 |
| Top of flashboards | 3,070 |
| Top of dam | 3,760 |
- f. Dam
- | | |
|-----------------------|--|
| Embankment type: | Earth with rock fill core |
| Height, feet | 31 |
| Length, feet | 112 |
| Upstream slope: | 1: 2.1 |
| Downstream slope: | Vertical protected by
stone masonry face wall |
| Crest elevation, feet | 1333 |
| Crest width, feet | Over 100 |
| Impervious core | None |
| Grout curtain | None |
- g. Spillway
- | | |
|---|-------------------------|
| Type: | Drop spillway |
| Length, feet (see sketch in Appendix C, page 7) | 17 |
| Crest elevation, MSL | 1326 |
| Upstream channel: | Not Visible |
| Downstream channel: | Rock outcrop in channel |
- h. Regulating outlets
- | | |
|--|--|
| | Both the penstock and the
reservoir drain are
inoperative. |
|--|--|
- i. Cutoff
- | | |
|--|------|
| | None |
|--|------|

SECTION 2: ENGINEERING DATA

2.1 DESIGN

a. Geology

The Swan Lake Dam is located in the "Appalachian Uplands" physiographic province of New York State. This province (the northern extreme of the Appalachian Plateau) was formed by dissection of the uplifted but flat lying sandstones and shales of the Middle and Upper Devonian Catskill Delta. Relief is high to moderate. Maximum dissection occurs in the Catskill Mountain area, where only the mountain peaks approximate the original plateau surface. Drainage is generally southwest toward the Delaware River system.

b. Subsurface Investigations

No subsurface investigation could be located for this dam. However, the "Dam Report" filed by Mr. Edwin Krauss (see Appendix F) states that the dam is founded on rock and loam.

The "General Soil Map of New York State" prepared by Cornell University Agriculture Experiment Station indicates that the surficial soils are of the Lackawanna series, of glacial till origin. This series is generally stony silt sand and gravel with a trace of clay, having poor internal drainage characteristics. Boulders are common, and depth to bedrock is variable. Sandstone bedrock was observed to outcrop in the downstream channel.

c. Dam and Appurtenant Structures

The dam was reported to be constructed about 1894 (see "Dam Report" filed by Mr. Edwin Krauss - Appendix F). No other information could be located concerning the design or construction of the dam.

2.2 CONSTRUCTION RECORDS

No construction records are available.

2.3 OPERATION RECORDS

No maintenance and operation record or manual is available.

2.4 EVALUATION OF DATA

The data available is extremely limited. The information reported herein is based on NYS Department of Environmental Conservation files, discussions with Silvia and Edward Brinn (owners of the dam) who aided greatly, and the visual inspection.

SECTION 3: VISUAL INSPECTION

3.1 FINDINGS

a. General

The visual inspection of Swan Lake Dam and the surrounding watershed was conducted on October 19, 1978 and February 27, 1979. The weather was cloudy and the temperature ranged in the forties. The lake level was 4.0 feet above spillway crest at the time of inspection due to the presence of stoplogs.

b. Embankment

Development of the gas station facilities and the highway prohibited the detailed inspection of the western portions of the crest and the upstream face. Inspection of the remaining portions indicate that the crest and slopes of the embankment are in good condition. No seepage was evident at the toe or on the slopes. Seepage was evident in the vicinity of the penstock and the reservoir drain, which are both inoperative and abandoned (see photographs #2 & #10). The 42" penstock located on the west side of the spillway exhibited seepage at a rate of approximately 5 gallons per minute. This flow appeared to be related to incomplete plugging of the pipe. The 42 inch reservoir drain located under the spillway is plugged with concrete. Seepage from this drain is estimated to be between 5 and 7 gallons per minute. Flow thru the pipe could be related to an incomplete plug, or spillway flow either thru the deteriorated spillway slab or thru the end of the drain pipe which projects past the edge of the spillway and is deteriorated on its upper surface. A void was also observed adjacent to the drain in the masonry portion. This void is approximately 8 inches by 2 feet and extends to a depth of about 3 feet. A detailed inspection could not be accomplished due to spillway flow. However, the problem did not appear to be significant and new masonry could easily be placed to repair this void.

Slight bulging was observed in the stone masonry on either side of the penstock for a total distance of approximately 16 feet. No recent movement was observed in this 2 to 3 inch bulge. This bulge may have been the result of mis-alignment during construction.

A metal bin wall contains the bridge approach embankment at the southeast corner of the spillway. Considerable erosion at the base of the bin wall (caused by steep slopes and excess highway drainage) has reduced the support for the wall and the wall has bowed outward. This problem, if unchecked, will lead to failure of the bridge approach embankment.

No other seepage, depressions or ground movement was observed. No instrumentation is present at the dam. No problems were observed related to reservoir slopes or sedimentation.

c. Spillway

The concrete spillway is constructed on the embankment with an abandoned highway bridge spanning the entire spillway. Three groups of 4 feet wide stoplogs control the lake elevation. Previous modifications to the spillway have reduced the spillway area to 79 square feet (see photographs #7, 8 & 18). Utility lines which are suspended beneath the abandoned bridge slab further reduce the spillway capacity. The spillway walls and slab are cracked and deteriorated, particularly the spillway walls (see photographs #3 & 4). The spillway slab has deteriorated in the vicinity of the area excavated for another utility line. A void approximately 10 inches in diameter was observed near the east abutment, where the concrete patch over the utility line was dislodged, and spillway flow was observed entering this void.

d. Regulating Outlets

The 42 inch diameter penstock and the 42 inch diameter reservoir drain have been plugged and are inoperative. No other regulating outlets are present.

e. Downstream Channel

The downstream channel is the natural channel of the west branch of the Mongaup River (see photographs #11 & 12). The channel bottom is exposed bedrock. The channel is littered with stones, boulders and some limited debris. Some trees were also observed in the channel. The side slopes of the channel are rock outcrops or bed-rock controlled slopes and appear stable. The dam lies between 2 bridges (see photographs #13 & 16). The upstream bridge is 39 feet from the dam and the downstream bridge is approximately 3000 feet from the dam. Both of these bridges, in addition to the dam, will act as control points.

f. Spillway Bridge

The abandoned spillway bridge which covers the entire spillway is reducing the spillway flow (see photographs #1, 2 & 14). In addition, the bridge slab is cracked and deteriorated. The slab exhibits signs of calcification and appears to have contracted relative to the abutment walls which form the walls of the spillway. The limited spillway capacity and the deteriorated condition of this bridge may necessitate its removal.

3.2

EVALUATION OF OBSERVATIONS

Although deficiencies were observed, there are no indications that the dam is in imminent danger. Most deficiencies are minor and may be corrected by maintenance forces.

SECTION 4: OPERATION AND MAINTENANCE PROCEDURES

4.1 PROCEDURES

The Swan Lake Dam was previously used to operate a mill and provide recreational facilities. The mill has since been abandoned and the lake is currently used for recreational purposes only. The lake level is normally kept 4 feet above the spillway crest by the use of stoplogs. Some of the stoplogs are removed during heavy flows.

4.2 MAINTENANCE OF THE DAM

There is no regular program of repair and maintenance and no operation and maintenance manual is available.

4.3 MAINTENANCE OF OPERATING FACILITIES

The penstock and the reservoir drain are inoperative.

4.4 WARNING SYSTEM IN EFFECT

There is no warning system in effect or in preparation.

4.5 EVALUATION

The maintenance of the Swan Lake Dam is considered inadequate in the following areas:

- a. Disrepair of the reservoir drain and areas around the penstock.
- b. Deterioration of spillway slab and abutment walls.
- c. Control of erosion at the base of the metal bin wall near the spillway.

SECTION 5: HYDRAULIC/HYDROLOGIC

5.1 DRAINAGE AREA CHARACTERISTICS

The Swan Lake Dam is located on the west branch of the Mongaup River, a tributary of the Delaware River. The drainage area at the dam is 14.54 square miles. The topography is characterized by gentle slopes interspersed with swamps.

5.2 ANALYSIS CRITERIA

It was determined that the new bridge will act as a controlling point before the water reaches the dam. The road on the bridge is 3 feet higher than the top of the dam. Hence, this bridge was analyzed like a spillway and the dam downstream was analyzed as a channel with a critical section. A second bridge downstream of the dam was also analyzed as a critical section of the channel.

Other analyses were performed to determine the capacity of the bridge to pass the flood through the development of Probable Maximum Flood (PMF) for the watershed and the subsequent routing of the PMF through the reservoir using HEC 1-DB.

The unit hydrograph was defined by the Snyder Coefficients, T_p and C_p . The Probable Maximum Precipitation (PMP) was 21.0 inches (Figure 1), Hydrometeorological Report (HMR #33) for a 24 hour duration, 200 square mile basin. The percentages of the PMP applied to other duration storms were interpolated from the plot of drainage area versus percent of the 24 hour, 200 square mile depth (Figure 2, HMR #33). The PMF inflow hydrograph was determined by applying the PMP to the unit hydrograph for the basin and the peak inflow was 17,800 cfs. After routing the peak inflow through the impounded storage, the peak outflow was determined to be 17,600 cfs. Half of PMF peak inflow was 8,900 cfs and the routed peak outflow was 8,600 cfs.

5.3 SPILLWAY CAPACITY

The maximum possible head between the crest of the spillway and bottom of the bridge slab has been reduced from 5.75 feet to 1.75 feet by using 6 - 8 inch high stoplogs. The maximum width possible has been reduced from 23 feet to 17 feet by the presence of the piers.

The maximum computed capacities of the spillway are as follows:

	<u>SPILLWAY CAPACITY</u>		
	<u>With Stoplogs in place</u>	<u>Without Stoplogs</u>	<u>Before construction of piers and installation of stoplogs</u>
	130 cfs	950 cfs	1300 cfs
<u>PMF</u> 17,600 cfs	0.74%	5.4%	7.4%
<u>1/2 PMF</u> 8,600 cfs	1.5%	11.0%	15.1%

5.4 RESERVOIR CAPACITY

The reservoir capacity is given below:

	<u>El. (feet)</u>	<u>Capacity (acre-feet)</u>
Crest of Spillway	1326	2168
Top of stoplogs	1330	3070
Top of dam	1333	3758
Top of bridge (upstream)	1336	4451

The storage capacity curve is shown in Appendix D. The curve indicates a surcharge storage of 1381 acre-feet above the stoplogs, and is equivalent to a runoff depth of 1.8 inches over the drainage area.

5.5 FLOODS OF RECORD

There is no record of highest or lowest water levels at the dam site.

5.6 OVERTOPPING POTENTIAL

The HEC-1-DB analysis indicates that the bridge, the dam and the downstream bridge will be overtopped as follows:

	<u>OVERTOPPING IN FEET</u>		
	<u>Bridge</u>	<u>Dam</u>	<u>Downstream Bridge</u>
1/2 PMF	1.59	2.9	3.4
PMF	2.82	4.8	5.2

A number of homes on both sides of Route #155 near the downstream bridge will be affected by basement flooding from both the PMF and 1/2 PMF. Should a failure of the dam occur, the failure will be limited to the spillway section since the embankment is not constructed of erodable material, the embankment width and surface development (paving etc..) is not as susceptible to erosion, the upstream bridge and its approach embankments are protected by sheet piling and the downstream face of the dam is protected by masonry construction.

5.7 EVALUATION

The limited spillway capacity and reservoir storage will result in overtopping of the dam during the 1/2 PMF event and subsequent flooding downstream. However, based on the Corps of Engineers Screening Criteria, it is not considered seriously inadequate, since failure of the dam will not significantly increase the flooding potential downstream over that which would occur before failure. Since the limited spillway capacity is a result of the abandoned spillway bridge and the stoplog and concrete pier system, removal of these obstructions will dramatically increase the spillway discharge capacity. These recommendations are contained within this report. In the interim, continuous monitoring of the structure during periods of high run-off must be initiated and a contingency plan adopted in the event of overtopping.

SECTION 6: STRUCTURAL STABILITY

6.1 EVALUATION OF STRUCTURAL STABILITY

a. Visual Observations

The visual observations did not indicate any sign of major distress in connection with the dam. Minor seepage was evident from the plugged regulating outlets. Slight bulging (2 to 3 inches) was observed on the downstream stone masonry face in the vicinity of the penstock. This bulging does not appear to be active. Erosion was evident at the base of a metal bin wall near the southeast corner of the spillway, which resulted in a bowing out of the bin wall. Spillway capacity is being dramatically reduced by the presence of the abandoned bridge and the utility lines which traverse beneath the bridge slab. The abandoned spillway bridge is cracked and deteriorated. The reservoir drain is inoperative. These deficiencies are not yet considered to be adversely affecting the structural stability of the structure.

b. Design and Construction Data

No design computations or other data regarding the structural stability of the dam are available.

c. Operating Records

No records of operation are available and no major operational problems were reported.

d. Post Construction Changes

The spillway was modified in 1962 by placing concrete columns and stoplogs along the upstream edge of the spillway. During 1963 and 1964, the spillway bridge was abandoned and a new highway embankment and bridge was constructed approximately 39 feet upstream from the spillway. The embankments are protected by riprap and the approaches by steel sheet piling.

e. Stability

The dam is located in seismic zone 1. Therefore, a seismic analysis is not warranted.

SECTION 7: ASSESSMENT/RECOMMENDATIONS

7.1 ASSESSMENT

a. Safety

The Phase 1 inspection of Swan Lake Dam did not indicate conditions which constitute an immediate hazard to human life or property. The dam is not considered to be unstable. However, conditions of deterioration in the spillway, masonry walls and abandoned spillway bridge may lead to the development of hazardous conditions. In addition, the spillway is adequate to pass only 0.7% of the PMF with stoplogs and 5% of the PMF without stoplogs.

For the aforementioned reasons, Swan Lake Dam requires certain measures and improvements to insure a safe and stable structure.

b. Adequacy of Information

The information available is adequate for Phase 1 inspection purposes. It should be noted that the design and construction information is extremely limited.

c. Urgency

Since the spillway capacity is severely limited and flooding was previously reported due to stoplog placement, the system of stoplogs and concrete at the upstream edge of the spillway should be analyzed and modifications instituted within 1 year of notification. The deteriorating spillway bridge and utility lines must be removed within 1 year of notification. Erosion at the base of the metal bin wall must be repaired immediately to prevent bridge approach embankment failure. Investigation of the plugged reservoir drain should be accomplished within 1 year of notification and an operating reservoir drain provided within the following year.

d. Need for Additional Investigation

To prevent the development of potentially hazardous conditions, investigations should be conducted in the following areas:

1. Investigate the condition of the present stoplog system and institute modifications to increase spillway capacity during peak flows.
2. Investigate the condition of the plugged reservoir drain to determine if this system can be returned to operational condition.

7.2 RECOMMENDED MEASURES

- a. The abandoned spillway bridge and the utility lines suspended beneath the bridge must be removed to increase spillway capacity.
- b. The spillway stoplogs should be replaced with flashboards which collapse at a specific reservoir level.

- c. Erosion at the base of the metal bin wall near the southeast corner of the spillway must be repaired.
- d. Cracking and deterioration of the spillway slab and walls must be repaired.
- e. Periodically monitor the bulge on the downstream masonry face in the vicinity of the penstock to determine if further movement is occurring. Periodically monitor the seepage from the penstock and the reservoir drain to ascertain changes in flow.
- f. Provide a reservoir drain to lower the lake level for future maintenance and repair work.
- g. Initiate a program of periodic inspection and maintenance of the dam and appurtenances. Document this information for future reference. Also, develop an operations manual.

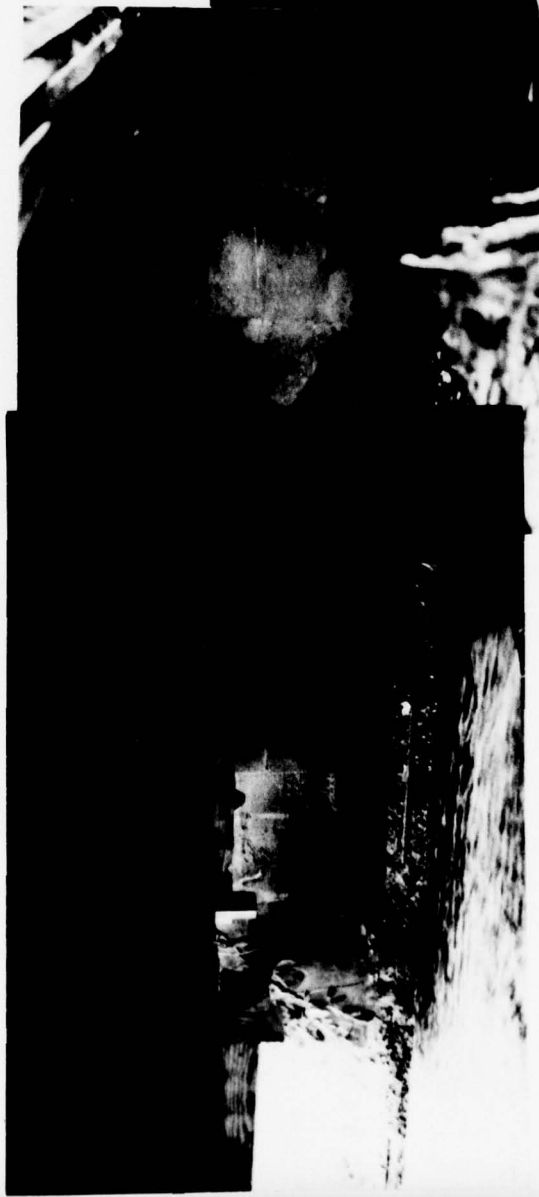
APPENDIX A

PHOTOGRAPHS



EAST ABUTMENT WALL
NOTE CRACKING & DETERIORATION

Photos #3A, B & C



WEST ABUTMENT WALL

Photos #4A, B & C



VOID IN SPILLWAY SLAB
NORTHEAST CORNER

Photo #5



WEST ABUTMENT WALL
NOTE DETERIORATED MASONRY

Photo #6



STOPLOGS

Photo #7



STOPLOGS VIEWED FROM SPILLWAY

Photo #8



PENSTOCK AS VIEWED FROM BASE OF DAM

Photo #9



PENSTOCK AS VIEWED FROM TOP OF DAM
NOTE: SLIGHT BULGE IN MASONRY

Photo #10



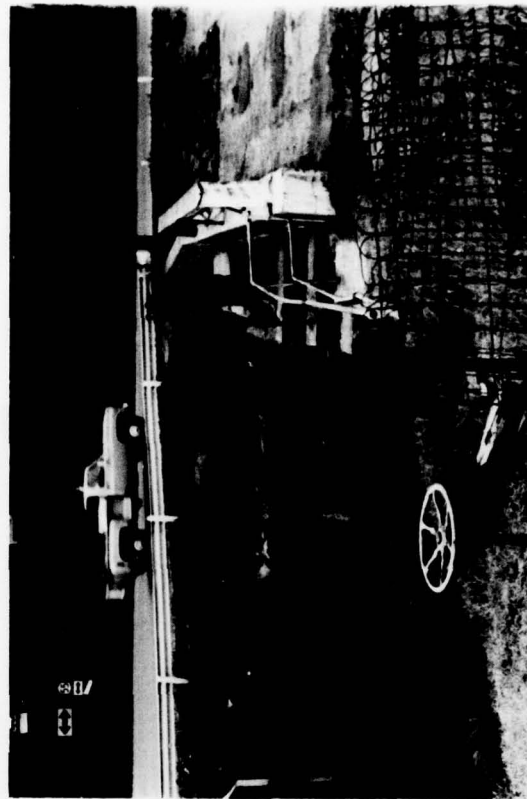
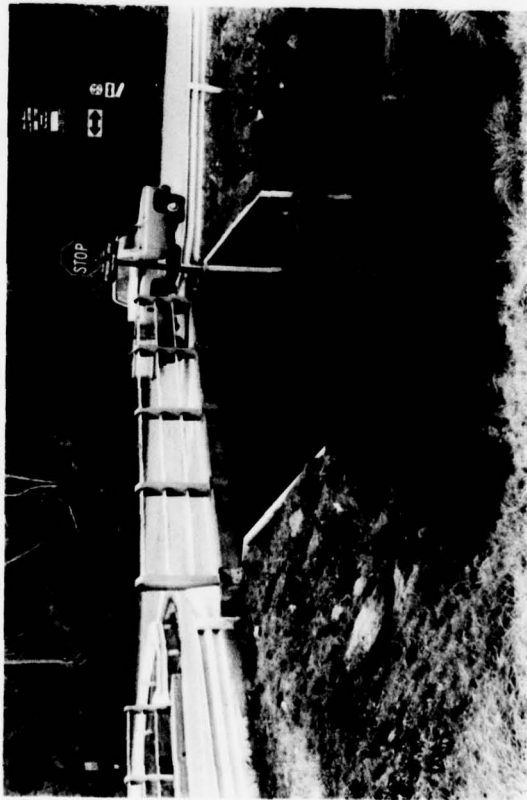
DOWNSTREAM CHANNEL ADJACENT TO
ABANDONED MILL

Photo #11

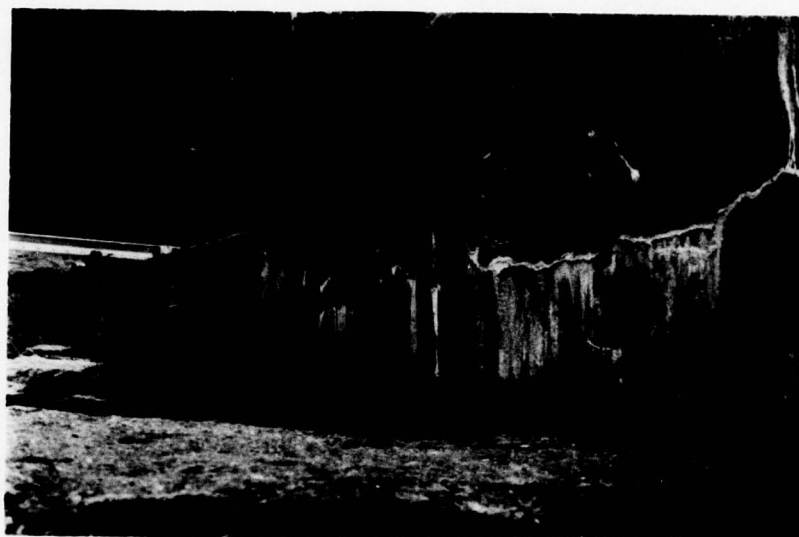


ABANDONED MILL AT BASE OF DAM

Photo #12



AREA BETWEEN DAM AND UPSTREAM HIGHWAY BRIDGE



SPILLWAY BRIDGE DECK VIEWED FROM UPSTREAM SIDE
NOTE DETERIORATION & CALCIFICATION

Photo #14



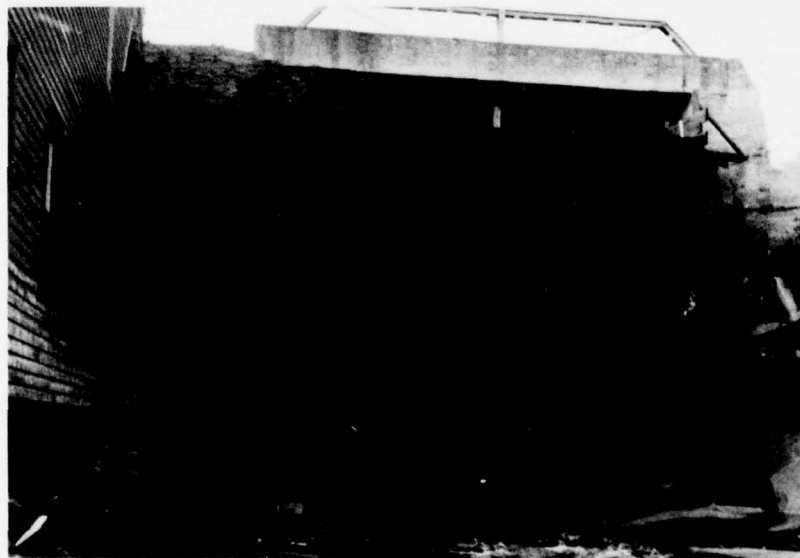
SPILLWAY BRIDGE DECK I - BEAM
NOTE DETERIORATION OF CONCRETE STOPLOG SUPPORT

Photo #15



DOWNSTREAM BRIDGE AND CHANNEL

Photo #16



OLD PHOTOGRAPH OF DOWNSTREAM FACE (DATE: 1918)
NOTE: OLD MILL (NOW DESTROYED)

Photo #17



UPSTREAM FACE OF DAM AND AREA ADJACENT TO
PENSTOCK INTAKE (1962)

Photo #18



INTAKE AREA FOR PENSTOCK (1962)
NOTE: THIS AREA IS NOW FILLED IN

Photo #19



DOWNSTREAM FACE OF DAM (1962)
Looking West

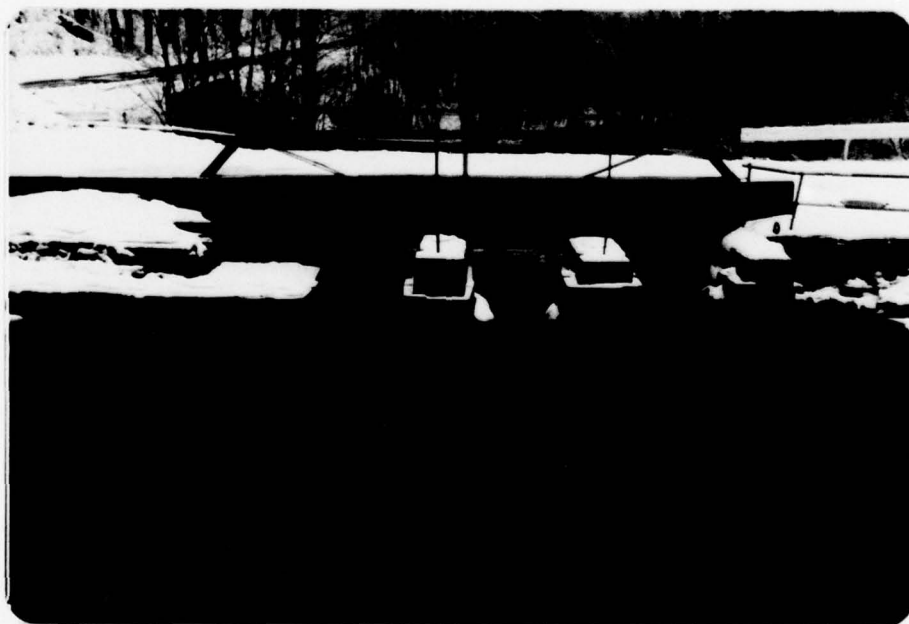


PHOTO #21

UPSTREAM FACE OF DAM - PHOTO #21

APPENDIX B

ENGINEERING DATA CHECKLIST

Check List
Engineering Data
Design Construction Operation

Name of Dam Swan Lake Dam
I.D. # N.Y. 333

Item	Remarks		
	Plans	Details	Typical Sections
Dam	None	None	None
Spillway(s)	"	"	"
Outlet(s)	"	"	"
Design Reports	None		
Design Computations	None		
Discharge Rating Curves	None		
Dam Stability	None		
Seepage Studies	None		
Subsurface and Materials Investigations	None		

Item	Remarks
------	---------

Construction History	None other than reported in Section 1g
----------------------	--

Surveys, Modifications, Post-Construction Engineering Studies and Reports	None
---	------

Accidents or Failure of Dam Description, Reports	None reported other than overlapping in March 25, 1963.
---	--

Operation and Maintenance Records Operation Manual	None
---	------

APPENDIX C

VISUAL INSPECTION CHECKLIST

VISUAL INSPECTION CHECKLIST

1) Basic Data

a. General

Name of Dam Swan Lake Dam

I.D. # N.Y. 333

Location: Town Liberty County Sullivan

Stream Name West Branch of the Mongaup River

Tributary of Delaware River

Longitude (W), Latitude (N) 74°-46'-9" / 41°-45'-2"

Hazard Category C

Date(s) of Inspection 10.18.78 and 2.27.79

Weather Conditions Mid 40s, cloudy
Upper 20s, light snow

b. Inspection Personnel Bob McCarty, M. Islam, Sylvia Brinn,
Edward Brinn

c. Persons Contacted Edward Brinn, Box 267, Swan Lake
New York 12783 Tel: (914) 292-4567. Pete Grodzka
Supervisor, Town of Liberty, Tel. (914) 292-5111

d. History:

Date Constructed 1894

Owner Edward & Sylvia Brinn, A. Lowenthal

Designer Unknown

Constructed by Unknown other than original owner, Alden S. Swan

2) Technical Data

Type of Dam Earth and Masonry Dam

Drainage Area 14.5 square miles

Height 31 feet Length 135 feet

Upstream Slope Unknown Downstream Slope vertical

2) Technical Data (Cont'd.)

External Drains: on Downstream Face None @ Downstream Toe None

Internal Components:

Impervious Core None

Drains None

Cutoff Type None

Grout Curtain None

3) Embankment

a. Crest

- (1) Vertical Alignment appears good
- (2) Horizontal Alignment appears good
- (3) Surface Cracks none evident
- (4) Miscellaneous Gasoline station has developed embankment to the west of the spillway

b. Slopes

- (1) Undesirable Growth or Debris, Animal Burrows _____
- (2) Sloughing, Subsidence or Depressions none observed
- (3) Slope Protection Riprap on upstream face of new bridge protects dam embankment
- (4) Surface Cracks or Movement at Toe none evident
- (5) Seepage none evident some seepage around penstock & reservoir drain
- (6) Condition Around Outlet Structure some deterioration of masonry around outlets, reservoir drain void: 8' x 2' 3 feet deep. slight bulge (2 to 3 inches) around masonry wall near penstock. misalignment during construction possible. no recent movement.

c. Abutments

(1) Erosion at Embankment and Abutment Contact South east corner of bridge approach - considerable erosion at wing wall and near metal bin. Erosion is 4' deep has undermined and bowed out bin wall

(2) Seepage along Contact of Embankment and Abutment none evident

(3) Seepage at toe or along downstream face none evident

d. Downstream Area - below embankment

(1) Subsidence, Depressions, etc. no problems observed

(2) Seepage, unusual growth no problems observed

(3) Evidence of surface movement beyond embankment toe none evident

(4) Miscellaneous

e. Drainage System

no internal drainage system

(1) Condition of relief wells, drains, etc. _____

n/a

(2) Discharge from Drainage System _____

n/a

4) Instrumentation(1) Monumentation/Surveys None

(2) Observation Wells None

(3) Weirs None

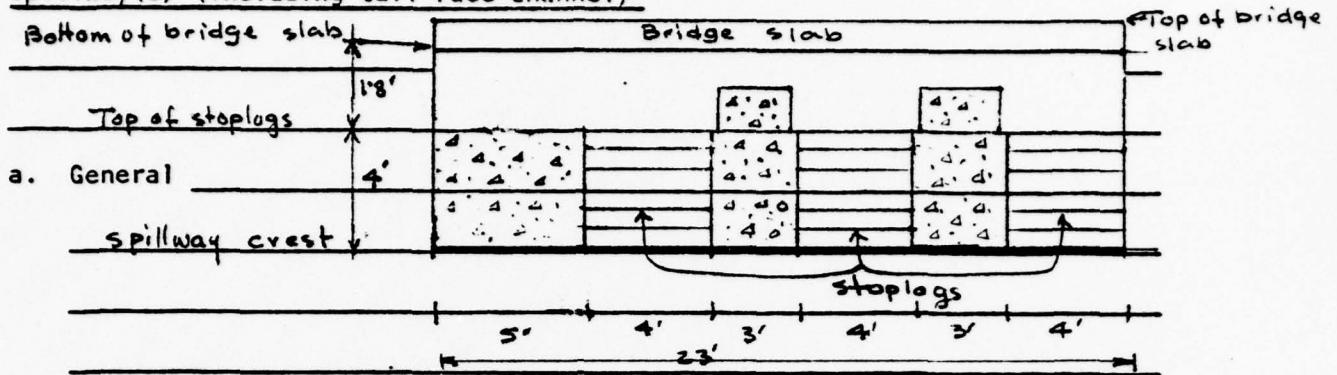
(4) Piezometers None

(5) Other None

5) Reservoira. Slopes OK. No problems observed or reported.

b. Sedimentation None reported.

6) Spillway(s) (including tail race channel)



- b. Principle Spillway This is a straight^{inlet} drop spillway with stoplogs and a bridge over the top as sketched above. The bridge was abandoned and a new one built 39 feet upstream of the spillway in 1963-64. The spillway used to be 23' wide and 5.8' high with a clear opening of 133 sq. ft. The spillway was modified in 1962 as above leaving a clear opening of 79 sq. ft.

Conditions under the bridge slab are as follows: The spillway floor is cracked (see picture #) and the beam is cracked and spalled (see picture #). The mortar joints of the east abutment wall deteriorated and a few stones are lost.

A sewer line and a water line pass right under the bridge slab.

- c. Emergency spillway None.

- d. Condition of Tail race channel The channel bottom is rock.

The channel is littered with stones, boulders, tires, logs etc.

- e. Stability of Channel side/slopes looks stable. No problem

areas observed.

b. Utility Lines

The utility lines which pass beneath the bridge slab are restricting the spillway capacity. The upstream pipe is approximately 18" in diameter. The other conduit is a

7) Downstream Channel

- a. Condition (debris, etc.) There are small trees, broken branches, stones, & logs etc. on the downstream channel.
- b. Slopes Downstream channel slopes are sometimes steep but no problem areas were observed.
- c. Approximate number of homes There are numerous homes immediately down stream of the dam.

- 8) Miscellaneous The dam lies between 2 bridges. The upstream bridge is 39 feet from the dam and the downstream bridge is about 3000 feet from the dam. Both the bridges, in addition to the dam, will act as control points.

9) Structural

- a. Concrete Surfaces Spillway surface - Cracking observed
above water or sanitary line which was excavated
placed and covered after spillway construction. A void
± 10" diameter was found near east wing wall. Spillway water was flowing
into this void.
- b. Structural Cracking Numerous structural cracks observed on
both east & west abutment walls of spillway + southeast wingwall
Bridge deck: observed extensive cracking & calcification
- c. Movement - Horizontal & Vertical Alignment (Settlement) _____
Bridge above spillway appears to have contracted relative to
the abutment and wing wall
- d. Junctions with Abutments or Embankments _____
no apparent problems
- e. Drains - Foundation, Joint, Face _____
None
- f. Water passages, conduits, sluices 42" penstock on west side of spillway
is inoperative & badly deteriorated. Seepage ≈ 5 gpm flows thru & under
pipe; appears to be coming thru penstock pipe initially.
42" Reservoir drain under spillway is plugged w/ concrete
- g. Seepage or Leakage seepage from Reservoir drain observed
at a rate of 5 to 7 gpm, flow could be from void noted in
"a" above or thru deteriorated end where spillway flow
was entering. Void near reservoir drain in masonry:
6 to 8 inches wide x 2 feet x 3+ feet deep

- h. Joints - Construction, etc. poor condition between bridge & abutment walls
- i. Foundation bedrock
- j. Abutments cracked as noted
- k. Control Gates penstock - inoperative
Reservoir drain plugged w/ concrete
- l. Approach & Outlet Channels appear in good condition
some debris in downstream channel
- m. Energy Dissipators (plunge pool, etc.) none
- n. Intake Structures none operational
- o. Stability no adverse problems observed
- p. Miscellaneous new highway bridge w/ sheet pile wingwalls
is protecting upstream face of the dam

APPENDIX D

HYDROLOGIC/HYDRAULIC

ENGINEERING DATA AND COMPUTATIONS

CHECK LIST FOR DAMS
HYDROLOGIC AND HYDRAULIC
ENGINEERING DATA

1

AREA-CAPACITY DATA:

	<u>Elevation</u> (ft.)	<u>Surface Area</u> (acres)	<u>Storage Capacity</u> (acre-ft.)
1) Top of Dam	<u>1333</u>	<u>-</u>	<u>3.758</u>
2) Design High Water (Max. Design Pool)	<u>-</u>	<u>-</u>	<u>-</u>
3) Auxiliary Spillway Crest	<u>-</u>	<u>-</u>	<u>-</u>
4) Pool Level with Flashboards	<u>1330</u>	<u>4908</u>	<u>3070</u>
5) Service Spillway Crest	<u>1326</u>	<u>3122</u>	<u>2168</u>

DISCHARGES

	<u>Volume</u> (cfs)
1) Average Daily	<u>15.30</u>
2) Spillway @ Maximum High Water	<u>500</u>
3) Spillway @ Design High Water	<u>-</u>
4) Spillway @ Auxiliary Spillway Crest Elevation	<u>-</u>
5) Low Level Outlet	<u>None Operational</u>
6) Total (of all facilities) @ Maximum High Water	<u>500</u>
7) Maximum Known Flood	<u>Unknown</u>

CREST: DAM

ELEVATION: 1333Type: Earth embankment, downstream protected by stone masonry wall.Width: Over 100 feet at top Length: 112 feetSpillover See inspection checklist, page 7Location Eastern end of embankment

SPILLWAY:

PRINCIPAL

EMERGENCY

1326Elevation Nonestraight drop spillway Type See Inspection checklist page 7 Width Type of Control— Uncontrolled

Controlled:

stoplogs Type
(Flashboards; gate)6 Number 8" high, over 4' long Size/Length Invert Material Anticipated Length
of operating service — Chute Length 3 feet Height Between Spillway Crest
& Approach Channel Invert
(Weir Flow)

OUTLET STRUCTURES/EMERGENCY DRAWDOWN FACILITIES:

Type: Gate _____ Sluice _____ Conduit _____ Penstock _____

Shape : _____ None _____

Size: _____

Elevations: Entrance Invert _____ - _____

Exit Invert _____ - _____

Tailrace Channel: Elevation _____ 1302 _____

HYDROMETEROLOGICAL GAGES:

Type : _____ None _____

Location: _____

Records:

Date - _____

Max. Reading - _____

FLOOD WATER CONTROL SYSTEM:

Warning System: _____ None _____

Method of Controlled Releases (mechanisms):

stoplogs over the spillway controls the release
of water downstream.

DRAINAGE AREA: 14.54 square miles

DRAINAGE BASIN RUNOFF CHARACTERISTICS:

Land Use - Type: Mostly wooded

Terrain - Relief: Mild slopes

Surface - Soil: —

Runoff Potential (existing or planned extensive alterations to existing
(surface or subsurface conditions)

None reported

Potential Sedimentation problem areas (natural or man-made; present or future)

None observed or reported

Potential Backwater problem areas for levels at maximum storage capacity
including surcharge storage:

None reported

Dikes - Floodwalls (overflow & non-overflow) - Low reaches along the
Reservoir perimeter:

Location: None

Elevation:

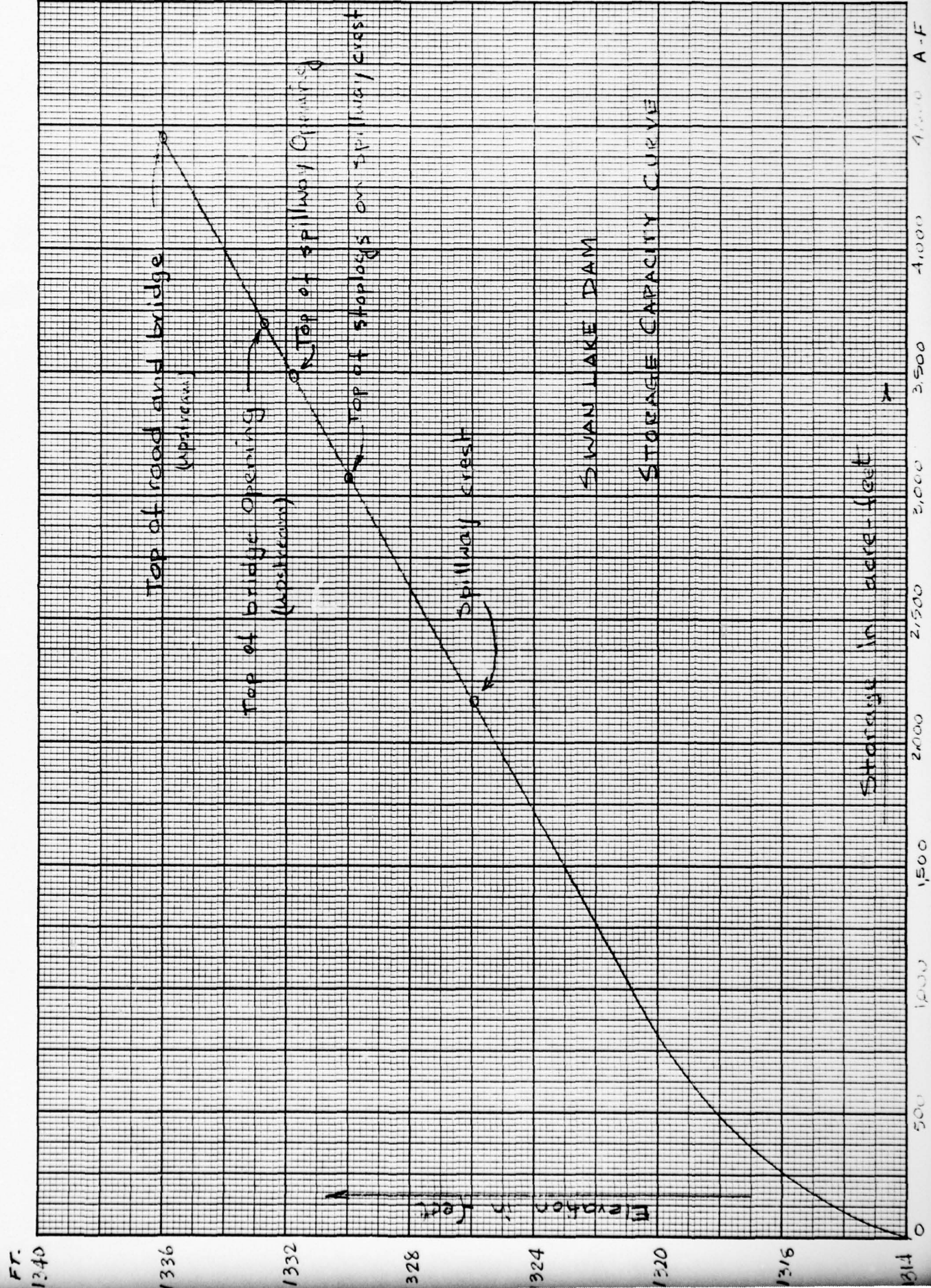
Reservoir:

Length @ Maximum Pool 2.8 (Miles)

Length of Shoreline (@ Spillway Crest) 6.2 (Miles)

STORAGE CAPACITY CURVE

Elevation (feet)	Storage (acre. feet)
1314	0
1320	810
1321	1034
1322	1258
1323	1482
1324	1708
1325	1933
1326	2168
1327	2387
1328	2614
1329	2842
1330	3070
1331	3299
1332	3528
1333	3758
1334	3989
1335	4219
1336	4451



SPILLWAY RATING CURVE

$$C = 3.235 + \frac{1}{60H - .56} + .428 \frac{H}{P}$$

$$Q = CLH^{3/2}$$

where

C = Coefficient of discharge

H = Head over spillway

P = Height of spillway (upstream)

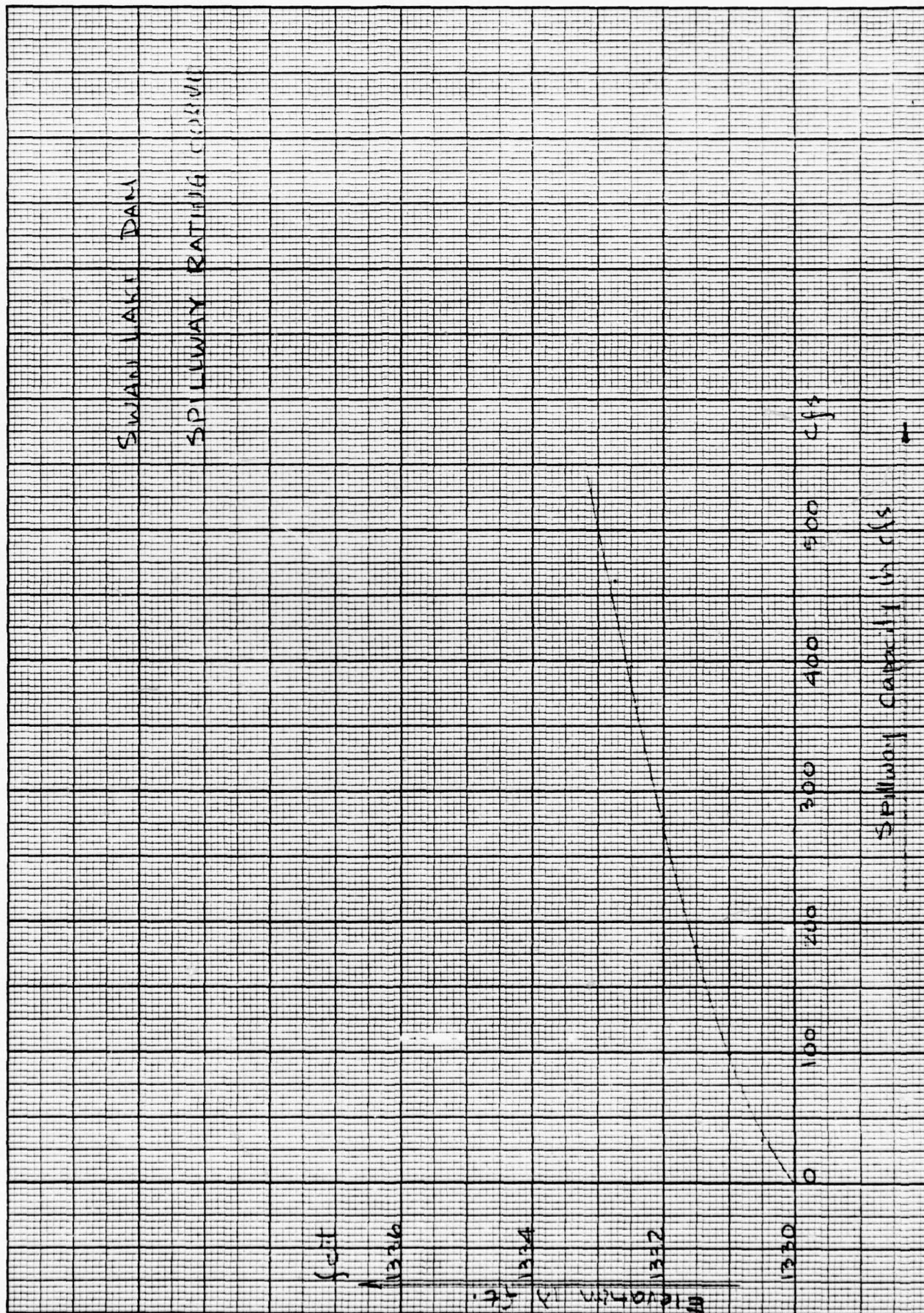
Q = Discharge over spillway

L = Length of spillway.

H in feet	P in feet	C	L in feet	Q in cfs.
.5	6.75 ↓	3.30	29.5 ↓	34
1.0		3.32		98
1.5		3.34		181
2.0		3.37		281
2.5		3.40		396
2.75		3.42		460
3.0		3.43		500
4.0		3.49		500
5.0		3.56		500
6.0		3.62		500

Flow above H=3' was considered 500 cfs.

K&E 20 X 20 TO THE INCH 46 1240
 7 X 10 INCHES
 MADE IN U.S.A.
 KEUFFEL & ESSER CO.



SWAN LAKE DAM

SPILLWAY RATING CURVE

ELEVATION IN FEET

1336

1334

1332

1330

CFS

500

400

300

200

100

0

SPILLWAY CAPACITY IN CFS

SWAN LAKE DAM

D.A. = Drainage area in square miles

L = River mileage from the given station to the upstream limits of the drainage area

LCA = River mileage from the station to the center of gravity of the drainage area

PMP = Probable Maximum Precipitation in inches

t_p = Lag time from mid-point of unit rainfall duration, t_r , to peak of unit hydrograph, in hours.

t_r = Unit rainfall duration, equal to $\frac{t_p}{5.5}$, in hours.

C_t = Coefficient depending upon units and drainage basin characteristics

t_r = Unit rainfall duration other than standard unit, t_r adopted in specific study, in hours.

t_{pr} = lag time from mid-point of unit rainfall duration, t_r , to peak of unit hydrograph, in hours

D.A. = 14.54 square miles, L = 7.92 miles, LCA = 3.54 miles

PMP = 21 inches $C_t = 2$

$C_p = 0.625$ from average 640 $C_p = 400$

$$t_p = C_t (L \cdot LCA)^{0.3} = 2 (7.92 \times 3.54)^{0.3} = 5.44 \text{ hours}$$

$$t_r = \frac{t_p}{5.5} = \frac{5.44}{5.5} = .99 \text{ hours (Use 1 hr. hydrograph)}$$

$$t_{pr} = t_p + 0.25(t_r - t_r) = 5.44 + .25(1 - .99) = 5.44 \text{ hrs.}$$

From HMR 33 - Figure 2, Depth - Area - Duration

$$\begin{array}{lcl} 6 \text{ hour } \% 108 = & , & 12 \text{ hour } \% = 120 \\ 24 \text{ hour } \% 130 = & , & 48 \text{ hour } \% = 139.5 \end{array}$$

此項試驗係在 1937 年 10 月 1 日以前所完成者

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0

1 2 1

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DATE	DESCRIPTION OF INFLUENCE	TO SWAN LAKE
1	14 54	14 54

1961 021 801 T

3.44 1.023

1
2
3

一一一

Y4	1330	1330.5	1331	1331.5	1332	1332.5	1332.75	1333	1334	1335
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	0	34	92	181	281	396	460	500	500
	0	34	92	181	281	396	460	500	500

Year	1933	1934	1935	1936	1937	1938	1939	1940	1941	1942	1943	1944	1945	1946	1947	1948	1949	1950	1951	1952	1953	1954	1955	1956	1957	1958	1959	1960	1961	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100
1933	1934	1935	1936	1937	1938	1939	1940	1941	1942	1943	1944	1945	1946	1947	1948	1949	1950	1951	1952	1953	1954	1955	1956	1957	1958	1959	1960	1961	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	

1316	1327	1321	1322	1323	1324	1325	1326	1327	1328
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Year	1961	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100
1961	1962	1963	1964	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	

[illegible]

SPILLWAY RIGIDITY ASSUMED AS EQUIVALENT CHANNEL-OF-1 STUDPLUGS

1

y7	o	1334	44.8	1333	44.9	1331.76	45	1329.96	68	1329.96
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1 4 1

— 1 —

Year	1965	1966	1967	1968	1969	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099																																																																																																																																								
1965	12.1	12.2	12.3	12.4	12.5	12.6	12.7	12.8	12.9	13.0	13.1	13.2	13.3	13.4	13.5	13.6	13.7	13.8	13.9	14.0	14.1	14.2	14.3	14.4	14.5	14.6	14.7	14.8	14.9	15.0	15.1	15.2	15.3	15.4	15.5	15.6	15.7	15.8	15.9	16.0	16.1	16.2	16.3	16.4	16.5	16.6	16.7	16.8	16.9	17.0	17.1	17.2	17.3	17.4	17.5	17.6	17.7	17.8	17.9	18.0	18.1	18.2	18.3	18.4	18.5	18.6	18.7	18.8	18.9	19.0	19.1	19.2	19.3	19.4	19.5	19.6	19.7	19.8	19.9	20.0	20.1	20.2	20.3	20.4	20.5	20.6	20.7	20.8	20.9	21.0	21.1	21.2	21.3	21.4	21.5	21.6	21.7	21.8	21.9	22.0	22.1	22.2	22.3	22.4	22.5	22.6	22.7	22.8	22.9	23.0	23.1	23.2	23.3	23.4	23.5	23.6	23.7	23.8	23.9	24.0	24.1	24.2	24.3	24.4	24.5	24.6	24.7	24.8	24.9	25.0	25.1	25.2	25.3	25.4	25.5	25.6	25.7	25.8	25.9	26.0	26.1	26.2	26.3	26.4	26.5	26.6	26.7	26.8	26.9	27.0	27.1	27.2	27.3	27.4	27.5	27.6	27.7	27.8	27.9	28.0	28.1	28.2	28.3	28.4	28.5	28.6	28.7	28.8	28.9	29.0	29.1	29.2	29.3	29.4	29.5	29.6	29.7	29.8	29.9	30.0	30.1	30.2	30.3	30.4	30.5	30.6	30.7	30.8	30.9	31.0	31.1	31.2	31.3	31.4	31.5	31.6	31.7	31.8	31.9	32.0	32.1	32.2	32.3	32.4	32.5	32.6	32.7	32.8	32.9	33.0	33.1	33.2	33.3	33.4	33.5	33.6	33.7	33.8	33.9	34.0	34.1	34.2	34.3	34.4	34.5	34.6	34.7	34.8	34.9	35.0	35.1	35.2	35.3	35.4	35.5	35.6	35.7	35.8	35.9	36.0	36.1	36.2	36.3	36.4	36.5	36.6	36.7	36.8	36.9	37.0	37.1	37.2	37.3	37.4	37.5	37.6	37.7	37.8	37.9	38.0	38.1	38.2	38.3	38.4	38.5	38.6	38.7	38.8	38.9	39.0	3

1299	291	1290	1341	1312
1299	291	1290	1341	1312

PREVIEW OF SEQUENCE OF STREAM NETWORK CALCULATIONS

RUNOFF HYDROGRAPH AT	1
ROUTE HYDROGRAPH TO	2
ROUTE HYDROGRAPH TO	3
ROUTE HYDROGRAPH TO	4
END OF NETWORK	

SWAN LAKE DAM NY-133 DELAWARE
HYDROLOGIC/HYDRAULIC ANALYSIS OF
SAFETY OF SWAN LAKE DAM
RADIUS OF PMF ROUTED THROUGH THE
RESERVOIR AND DOWNSTREAM

MULTI-PLAN: ANALYSES TO BE PERFORMED
NPLAN= 1 NRTIO= 2 LRTIO= 1

RTI05= .50 1.00

[illegible]

SUB-AREA RUNOFF COMPUTATION

CALCULATION OF INFLOW HYDROGRAPH TO SWAN LAKE

HYDROGRAPH DATA										
IHYDG	IUNG	TAREA	SNAP	TRSDA	TRSPC	RATIO	ISNOW	ISAME	ISTAGE	IAUTO
1	1	14.54	0.00	14.54	0.00	0.000	0	1	0	0

PRECIP DATA

SPEED PMS R6
 0.00 21.00 108.00
 COMPUTED BY THE PROGRAM IS .513

LOSS DATA										
LRUPT	STAKK	DLTKR	RTIDL	ERAIN	STAKS	KTDK	STATL	CNSTL	ALSMX	RTIMP
U	0.00	0.00	1.00	0.00	0.00	1.00	1.00	.10	0.00	0.00

UNIT HYDROGRAPH DATA
TP= 5.44 CP= .63 NTA= 0

STRTQ= 29.08 QKCSH= 29.08 RTIDR= 1.00
 DATE CLARK COEFFICIENTS FROM GIVEN SNYDER CP AND TP ARE TC= 6.36 AND R= 4.97 INTERVALS

UNIT HYDROGRAPH 30 END-OF-PERIOD ORIGINATES, LAG= 5.47 HOURS, CP= .63 VOL= 1.00				
70.	275.	542.	812.	1008.
57.	373.	305.	249.	204.
61.	50.	41.	33.	27.
				22.
				18.
				15.
				12.
				10.
				837.
				634.
				559.
				74.
				10.

[illegible]

1.01	1.00	0.01	0.00	0.01	29.	1.03	3.00	51	0.00	0.00	0.00	0.00	7053.
1.01	2.00	0.01	0.00	0.01	29.	1.03	4.00	52	0.00	0.00	0.00	0.00	5322.
1.01	3.00	0.01	0.00	0.01	29.	1.03	5.00	53	0.00	0.00	0.00	0.00	4791.
1.01	4.00	0.01	0.00	0.01	29.	1.03	6.00	54	0.00	0.00	0.00	0.00	3930.
1.01	5.00	0.01	0.00	0.01	29.	1.03	7.00	55	0.00	0.00	0.00	0.00	3218.
1.01	6.00	0.01	0.00	0.01	29.	1.03	8.00	56	0.00	0.00	0.00	0.00	2635.
1.01	7.00	0.02	0.00	0.02	29.	1.03	9.00	57	0.00	0.00	0.00	0.00	2159.
1.01	8.00	0.02	0.00	0.02	29.	1.03	10.00	58	0.00	0.00	0.00	0.00	1769.
1.01	9.00	0.02	0.00	0.02	29.	1.03	11.00	59	0.00	0.00	0.00	0.00	1451.
1.01	10.00	0.02	0.00	0.02	29.	1.03	12.00	60	0.00	0.00	0.00	0.00	1191.
1.01	11.00	0.02	0.00	0.02	29.	1.03	13.00	61	0.00	0.00	0.00	0.00	977.
1.01	12.00	0.02	0.00	0.02	29.	1.03	14.00	62	0.00	0.00	0.00	0.00	802.
1.01	13.00	0.03	0.00	0.03	29.	1.03	15.00	63	0.00	0.00	0.00	0.00	658.
1.01	14.00	0.03	0.00	0.03	29.	1.03	16.00	64	0.00	0.00	0.00	0.00	541.
1.01	15.00	0.03	0.00	0.03	29.	1.03	17.00	65	0.00	0.00	0.00	0.00	446.
1.01	16.00	0.03	0.00	0.03	29.	1.03	18.00	66	0.00	0.00	0.00	0.00	366.
1.01	17.00	0.03	0.00	0.03	29.	1.03	19.00	67	0.00	0.00	0.00	0.00	292.
1.01	18.00	0.03	0.00	0.03	29.	1.03	20.00	68	0.00	0.00	0.00	0.00	227.
1.01	19.00	0.03	0.00	0.03	29.	1.03	21.00	69	0.00	0.00	0.00	0.00	169.
1.01	20.00	0.03	0.00	0.03	29.	1.03	22.00	70	0.00	0.00	0.00	0.00	88.
1.01	21.00	0.03	0.00	0.03	29.	1.03	23.00	71	0.00	0.00	0.00	0.00	57.
1.01	22.00	0.03	0.00	0.03	29.	1.03	24.00	72	0.00	0.00	0.00	0.00	36.
1.01	23.00	0.03	0.00	0.03	29.	1.03	25.00	73	0.00	0.00	0.00	0.00	35.
1.01	24.00	0.03	0.00	0.03	29.	1.03	26.00	74	0.00	0.00	0.00	0.00	33.
1.01	25.00	0.03	0.00	0.03	29.	1.03	27.00	75	0.00	0.00	0.00	0.00	32.
1.01	26.00	0.03	0.00	0.03	29.	1.03	28.00	76	0.00	0.00	0.00	0.00	31.
1.01	27.00	0.03	0.00	0.03	29.	1.03	29.00	77	0.00	0.00	0.00	0.00	30.
1.01	28.00	0.03	0.00	0.03	29.	1.03	30.00	78	0.00	0.00	0.00	0.00	29.
1.01	29.00	0.03	0.00	0.03	29.	1.03	31.00	79	0.00	0.00	0.00	0.00	29.
1.01	30.00	0.03	0.00	0.03	29.	1.03	32.00	80	0.00	0.00	0.00	0.00	29.
1.01	31.00	0.03	0.00	0.03	29.	1.03	33.00	81	0.00	0.00	0.00	0.00	29.
1.01	32.00	0.03	0.00	0.03	29.	1.03	34.00	82	0.00	0.00	0.00	0.00	29.
1.01	33.00	0.03	0.00	0.03	29.	1.03	35.00	83	0.00	0.00	0.00	0.00	29.
1.01	34.00	0.03	0.00	0.03	29.	1.03	36.00	84	0.00	0.00	0.00	0.00	29.
1.01	35.00	0.03	0.00	0.03	29.	1.03	37.00	85	0.00	0.00	0.00	0.00	29.
1.01	36.00	0.03	0.00	0.03	29.	1.03	38.00	86	0.00	0.00	0.00	0.00	29.
1.01	37.00	0.03	0.00	0.03	29.	1.03	39.00	87	0.00	0.00	0.00	0.00	29.
1.01	38.00	0.03	0.00	0.03	29.	1.03	40.00	88	0.00	0.00	0.00	0.00	29.
1.01	39.00	0.03	0.00	0.03	29.	1.03	41.00	89	0.00	0.00	0.00	0.00	29.
1.01	40.00	0.03	0.00	0.03	29.	1.03	42.00	90	0.00	0.00	0.00	0.00	29.
1.01	41.00	0.03	0.00	0.03	29.	1.03	43.00	91	0.00	0.00	0.00	0.00	29.
1.01	42.00	0.03	0.00	0.03	29.	1.03	44.00	92	0.00	0.00	0.00	0.00	29.
1.01	43.00	0.03	0.00	0.03	29.	1.03	45.00	93	0.00	0.00	0.00	0.00	29.
1.01	44.00	0.03	0.00	0.03	29.	1.03	46.00	94	0.00	0.00	0.00	0.00	29.
1.01	45.00	0.03	0.00	0.03	29.	1.03	47.00	95	0.00	0.00	0.00	0.00	29.
1.01	46.00	0.03	0.00	0.03	29.	1.03	48.00	96	0.00	0.00	0.00	0.00	29.
1.01	47.00	0.03	0.00	0.03	29.	1.03	49.00	97	0.00	0.00	0.00	0.00	29.
1.01	48.00	0.03	0.00	0.03	29.	1.03	50.00	98	0.00	0.00	0.00	0.00	29.
1.01	49.00	0.03	0.00	0.03	29.	1.03	51.00	99	0.00	0.00	0.00	0.00	29.
1.01	50.00	0.03	0.00	0.03	29.	1.03	52.00	100	0.00	0.00	0.00	0.00	29.

SUM 23.81 20.10 3.72 193481.
(605.)(510.)(94.)(5393.82)

CF5	17795.	PEAK	5-HOUR	24-HOUR	72-HOUR	TOTAL VOLUME
CMS	504.		15604.	7427.	2634.	190452.
INCHES			442.	210.	75.	5393.
			9.98	19.01	20.22	20.31

CHANNEL DEPTH CHANNEL ROUTING

DATE 1 05TDL 0 LAG 0 AMSKK 0.000 X 0.000 TSK 0.000 STORA 0 ISPRAT 0

CN(1) QH(2) QH(3) ELNVT ELMAX RLNTH SEL
 .0400 .0130 .0400 1330.0 1340.0 39. .00100

CROSS SECTION COORDINATES--STA, ELEV, STA, ELEV--ETC

0.00 1334.00 44.50 1333.00 44.90 1331.75 45.00 1329.96 68.00 1329.96
 68.10 1331.75 68.20 1333.00 1000.00 1333.00

STORAGE	0.00	.01	.02	.03	.04	.05	.18	.64	1.11	1.58
	2.05	2.53	3.00	3.47	3.94	4.42	4.89	5.36	5.84	6.31
OUTFLOW	0.00	26.00	36.56	165.88	265.55	385.66	559.98	1229.46	2334.13	3799.07
	5572.68	7645.33	9981.17	12569.56	15398.95	18459.32	21742.11	25239.95	28946.35	32855.54
STAGE	1329.96	1330.49	1331.02	1331.55	1332.07	1332.60	1333.13	1333.66	1334.19	1334.72
	1335.24	1335.77	1336.30	1336.83	1337.36	1337.89	1338.41	1338.94	1339.47	1340.00
FLOW	0.00	24.00	36.56	165.88	265.55	385.66	559.98	1229.46	2334.13	3799.07
	5572.68	7645.33	9981.17	12569.56	15398.95	18459.32	21742.11	25239.95	28946.35	32855.54

STATION 3, PLAN 1, RTIO 1

OUTFLOW									
1.	2.	3.	4.	5.	6.	7.	8.	9.	10.
16.	23.	28.	33.	38.	43.	48.	53.	58.	63.
38.	45.	53.	66.	83.	109.	151.	224.	340.	500.
492.	2738.	8009.	8806.	6634.	7824.	6733.	5657.	4716.	361.
3930.	2722.	2282.	1877.	1558.	1296.	1082.	906.	764.	631.
650.	504.	500.	500.	500.	500.	500.	500.	500.	500.
500.	500.	500.	500.	500.	500.	500.	500.	500.	500.
500.	492.	465.	428.	392.	362.	335.	309.	286.	266.
266.	232.	217.	205.	190.	178.	169.	160.	152.	140.
STOR									
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.
0.	0.	0.	0.	0.	0.	0.	0.	0.	0.

1320.0 1330.0 1330.0 1330.0 1330.0 1330.0 1330.0 1330.0 1330.0 1330.0

AC-FT
THOUS CU M

3655.
4509.

6285.
7753.

7547.
9309.

7569.
9336.

MAXIMUM STORAGE = 3.

STAGE IS 1936.0

STATION 3, PLAN 1, RTIO 2

OUTFLOW		STOR		STAGE	
1.	2.	3.	4.	5.	6.
7.	8.	9.	10.	11.	12.
31.	32.	33.	34.	35.	36.
97.	98.	99.	100.	101.	102.
1691.	1692.	1693.	1694.	1695.	1696.
7696.	7697.	7698.	7699.	7700.	7701.
1199.	1199.	1199.	1199.	1199.	1199.
512.	512.	512.	512.	512.	512.
508.	508.	508.	508.	508.	508.
352.	352.	352.	352.	352.	352.

OUTFLOW		STOR		STAGE	
1.	2.	3.	4.	5.	6.
7.	8.	9.	10.	11.	12.
31.	32.	33.	34.	35.	36.
97.	98.	99.	100.	101.	102.
1691.	1692.	1693.	1694.	1695.	1696.
7696.	7697.	7698.	7699.	7700.	7701.
1199.	1199.	1199.	1199.	1199.	1199.
512.	512.	512.	512.	512.	512.
508.	508.	508.	508.	508.	508.
352.	352.	352.	352.	352.	352.

OUTFLOW		STOR		STAGE	
1.	2.	3.	4.	5.	6.
7.	8.	9.	10.	11.	12.
31.	32.	33.	34.	35.	36.
97.	98.	99.	100.	101.	102.
1691.	1692.	1693.	1694.	1695.	1696.
7696.	7697.	7698.	7699.	7700.	7701.
1199.	1199.	1199.	1199.	1199.	1199.
512.	512.	512.	512.	512.	512.
508.	508.	508.	508.	508.	508.
352.	352.	352.	352.	352.	352.

THOUS CU M

AC-FT

THOUS CU M

THOUS CU M

THOUS CU M

THOUS CU M

THOUS CU M

STAGE IS 1237.2

HYDROGRAPH ROUTING

CHANNEL ROUTING MOD-PULS REACH 3-4 DOWNSTREAM BRIDGE

ISTAQ	ICOMP	IECON	ITAPE	JPLT	JPRT	INAME	ISTAGE	IAUTO
4	1	0	0	0	0	1	0	0
CLISS	AVG	IRIS	ISAME	IDPT	IPMP		LSTR	
0.0	0.00	1	1	0	0		0	
NSTPS	HSTDL	LAG	ANSKK	X	TSK	STORA	ISPRAT	
1	0	0	0.000	0.000	0.000	0.	0	

DEPTH CHANNEL ROUTING

Q(1)	Q(2)	Q(3)	ELHVT	ELMAX	RLNTH	SEL
0.00	0.0500	0.0400	1281.0	1312.0	2000.	.00950

CROSS SECTION COORDINATES--STA,ELEV,STA,ELEV--ETC

0.00	1291.00	80.00	1289.00	110.00	1289.00
133.10	1289.00	291.00	1290.00	1341.00	1312.00

STAGE	0.00	1.72	3.44	5.16	6.89	8.53	25.78	53.28	86.65	125.86
	170.90	221.77	273.43	341.02	409.39	483.59	563.63	649.51	741.21	838.75
FLOW	0.00	137.03	405.19	743.60	1128.92	1562.53	3436.53	8503.67	16586.90	28150.63
	43145.31	61975.08	84610.57	112005.45	143738.93	180414.67	222130.73	269130.38	321802.06	380229.69
STAGE	1281.00	1282.00	1284.25	1285.89	1287.53	1289.16	1290.79	1292.42	1294.05	1295.68
	1297.52	1299.95	1300.53	1302.21	1303.64	1305.47	1307.11	1308.74	1310.37	1312.00
FLOW	0.00	137.33	405.19	743.60	1128.92	1562.53	3436.53	8503.67	16586.90	28150.63
	43145.31	61975.08	84610.57	112005.45	143738.93	180414.67	222130.73	269180.36	321802.06	380229.69

STATION 4, PLAN 1, RTIO 1

1.	0.	1.	2.	3.	4.	5.	6.	7.	8.	9.
3.	4.	4.	4.	4.	4.	4.	4.	4.	4.	4.
15.	19.	22.	25.	28.	30.	31.	32.	34.	35.	36.
37.	40.	44.	52.	64.	80.	104.	144.	219.	345.	4695.
493.	503.	2456.	7576.	9063.	8441.	8067.	6674.	5828.	4695.	773.
4037.	3277.	2817.	2233.	1932.	1579.	1307.	1095.	913.	773.	500.
655.	567.	506.	499.	501.	499.	501.	499.	500.	500.	500.

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蘇聯政府

MAXIMUM STORAGE F 92.

MIUM STAGE IS 1294.3

MAXIMUM STORAGE

AREA IN SQUARE MILES SQUARE KILOMETERS

RATIOS APPLIED TO FLOWS

STATION	AREA	PLAN	RATIOS APPLIED TO FLOWS	
			RATIO 1	RATIO 2
			.50	1.00
KAPH AT	1	14.54 (37.05)	1	8898, (251.96)(17793, 503.91)(
TU	2	14.54 (37.05)	1	8806, (249.37)(17689, 500.21)(
TU	3	14.54 (37.05)	1	8806, (249.37)(17711, 501.52)(
TU	4	14.54 (37.05)	1	9063, (256.63)(19107, 512.72)(

SUMMARY OF DAM SAFETY ANALYSIS

PLAN 1

RATIO OF PME	ELEVATION STORAGE OUTFLOW	MAXIMUM RESERVOIR W.S.ELEV	MAXIMUM DEPTH OVER DAM	MAXIMUM STORAGE AC-FT	MAXIMUM OUTFLOW CFS	DURATION OVER TOP HOURS	TIME OF MAX OUTFLOW HOURS	TIME OF FAILURE HOURS
.50	1337.85	1337.85	1.85	4880.	3806.	21.00	45.00	0.00
1.00	1339.00	1339.00	3.00	5148.	17689.	26.00	45.00	0.00

INITIAL VALUE	SPILLWAY CREST	TOP OF DAM
1330.00	1330.00	1336.00
3070.	3070.	4451.
0.	0.	500.

PLAN 1 STATION 3

RATIO	MAXIMUM FLOW,CFS	MAXIMUM STAGE,FT	TIME HOURS
.50	8806.	1336.0	45.00
1.00	17711.	1337.8	45.00

PLAN 1 STATION 4

RATIO	MAXIMUM FLOW,CFS	MAXIMUM STAGE,FT	TIME HOURS
.50	9063.	1292.5	45.00
1.00	18107.	1294.3	45.00

LIST OF REFERENCES

APPENDIX E

APPENDIX E

REFERENCES

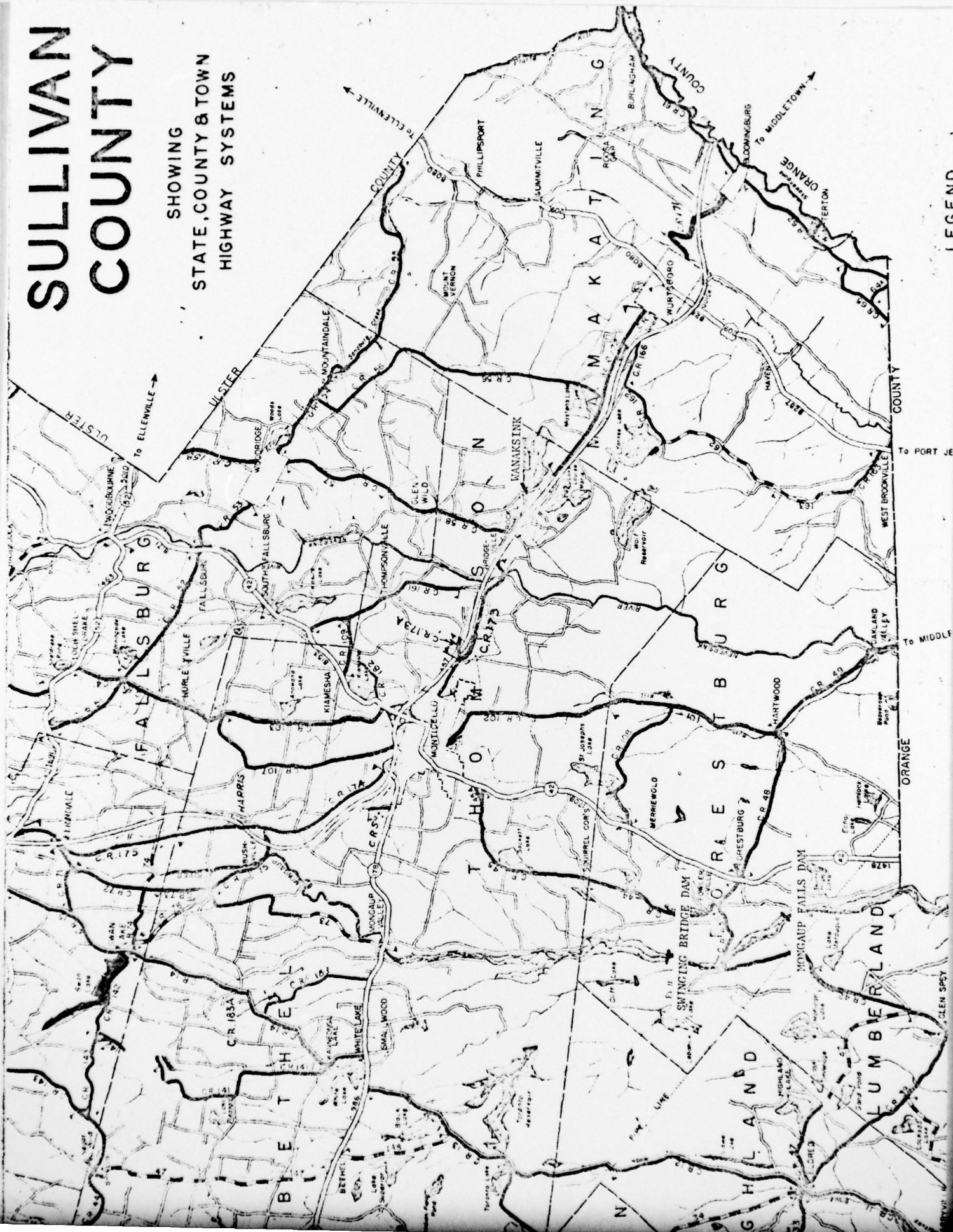
- 1) U.S. Department of Commerce, Technical Paper No. 40, Rainfall Frequency Atlas of the United States, May 1961.
- 2) Soil Conservation Service, National Engineering Handbook, Section 4, Hydrology, August 1972 (U.S. Department of Agriculture).
- 3) H.W. King and E.F. Brater, Handbook of Hydraulics, 5th edition, McGraw-Hill, 1963.
- 4) T.W. Lambe and R.V. Whitman, Soil Mechanics, John Wiley and Sons, 1965.
- 5) W.D. Thornbury, Principles of Geomorphology, John Wiley and Sons, 1969.
- 6) University of the State of New York, Geology of New York, Education Leaflet 20, Reprinted 1973.
- 7) Cornell University Agriculture Experiment Station (compiled by M.G. Cline and R.L. Marshall), General Soil Map of New York State and Soils of New York Landscapes, Information Bulletin 119, 1977.

APPENDIX F

DRAWINGS

SULLIVAN COUNTY

SHOWING
STATE, COUNTY & TOWN
HIGHWAY SYSTEMS





TOPOGRAPHIC MAP

Swan Lake

Limits of embankment →
County Route 142

State Route 55

New Bridge

Pumps

Pond

stoplogs

Gas
Station

Abandoned Bridge on top of Dam

will have stone Facing

N.S.

Embankment

Stearnsville N.Y.
May 13th 1919

May 13th / 1919

Dear Sir your inquiry of the
12th in regard to the retaining
wall or dam at Swan Lake
received. In reply I would say
that I assisted in building a
face wall on the original
dam in the year 1894.
This wall was built on
solid rock. The excavation
was from four feet to nine
feet below the surface of
the ground, and filled with
grout or cement - then it was
laid with stone and
Portland Cement. The
rock or stone was bedded
in the cement - The face
wall was four feet thick.

Yours Respectfully
Frank N. Mungen

ORDER NO.	DATE
INSTR.	Yano R Plank
RECD.	
CURR.	
PR. DET.	
FILE NO.	
ACCT.	X
PR. OF	
AND TO	
FILE	

(NOTICE: After filling out one of these forms as completely as possible for each dam in your district, return it at once to the Conservation Commission, Albany.)

STATE OF NEW YORK
CONSERVATION COMMISSION
ALBANY

DAM REPORT

..... July 8, 191.....
(Date)

CONSERVATION COMMISSION,

DIVISION OF WATERS.

GENTLEMEN:

I have the honor to make the following report in relation to the structure known as the Stevensville 147-279 Del Dam.

This dam is situated upon ~~the~~ a branch of the Monongus River
(Give name of stream)
in the Town of Liberty, Sullivan County,
about Five miles from the Village or City of Liberty
(State distance)

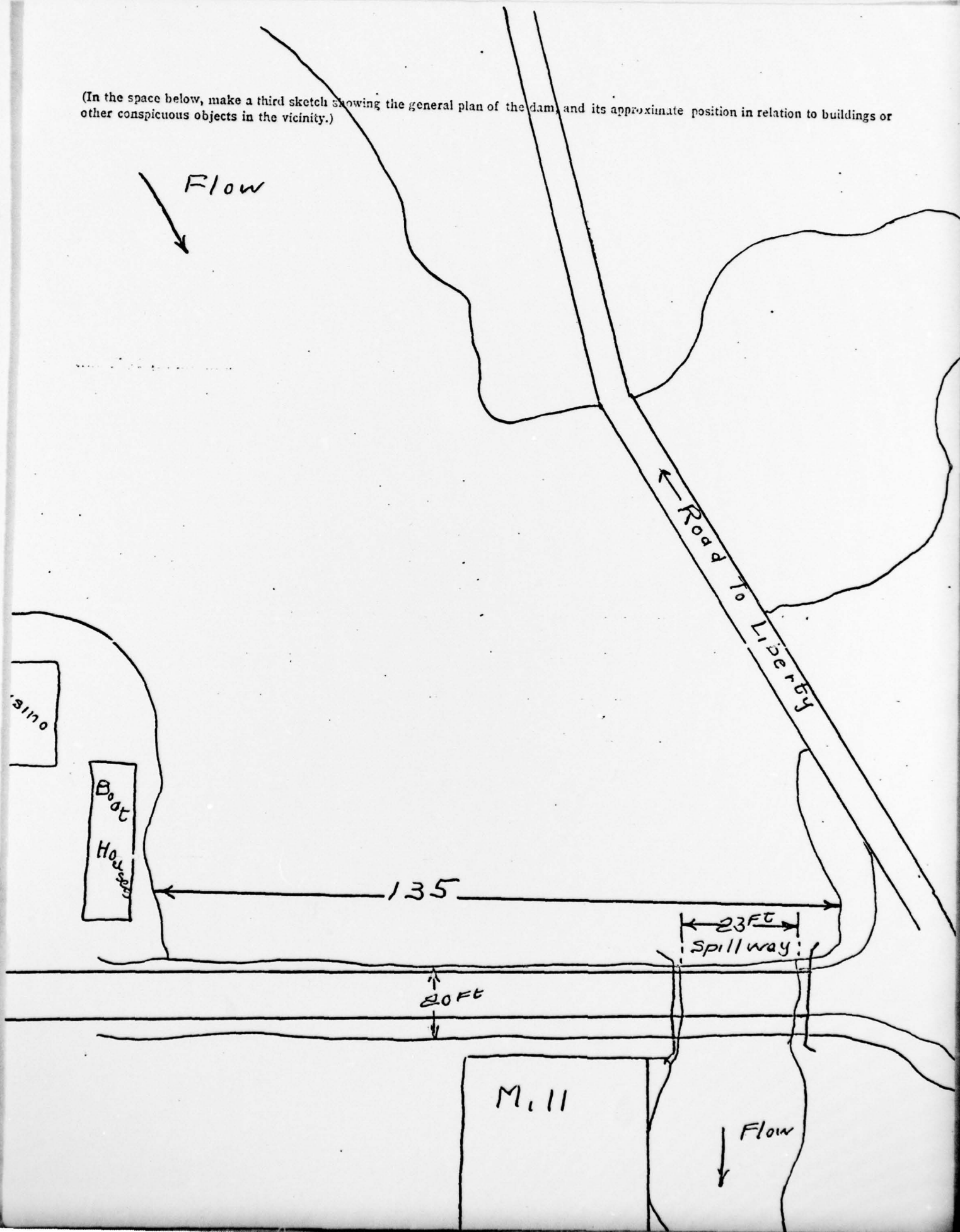
The distance stream from the dam, to the A bridge is built over the dam
(Up or down) (Give name of nearest important stream or of a bridge)
is about.....
(State distance)

The dam is now owned by Mrs. Mary A. Swann, Stevensville N.Y.
(Give name and address in full)
and was built in or about the year 1898, and was extensively repaired or reconstructed during the year.....

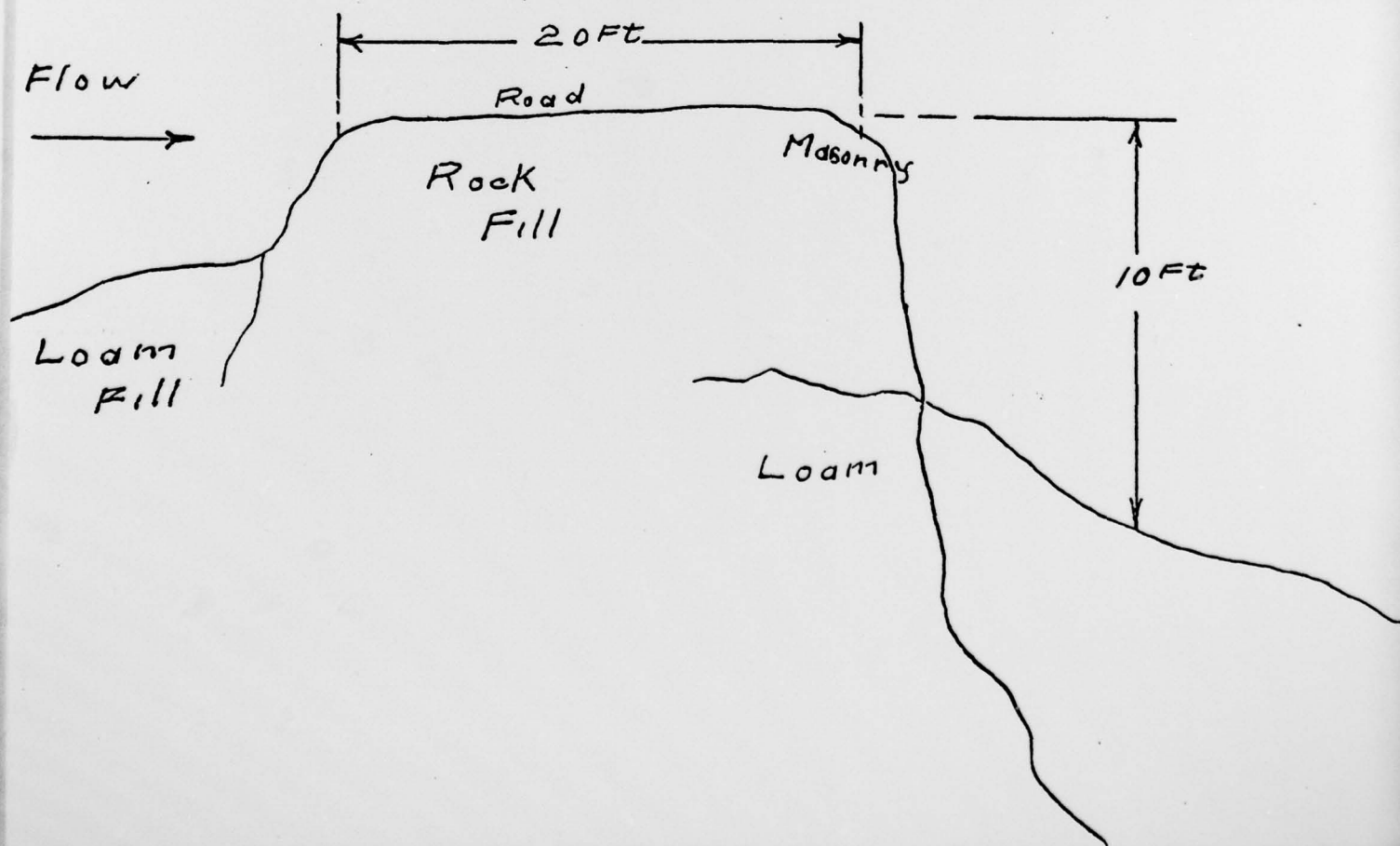
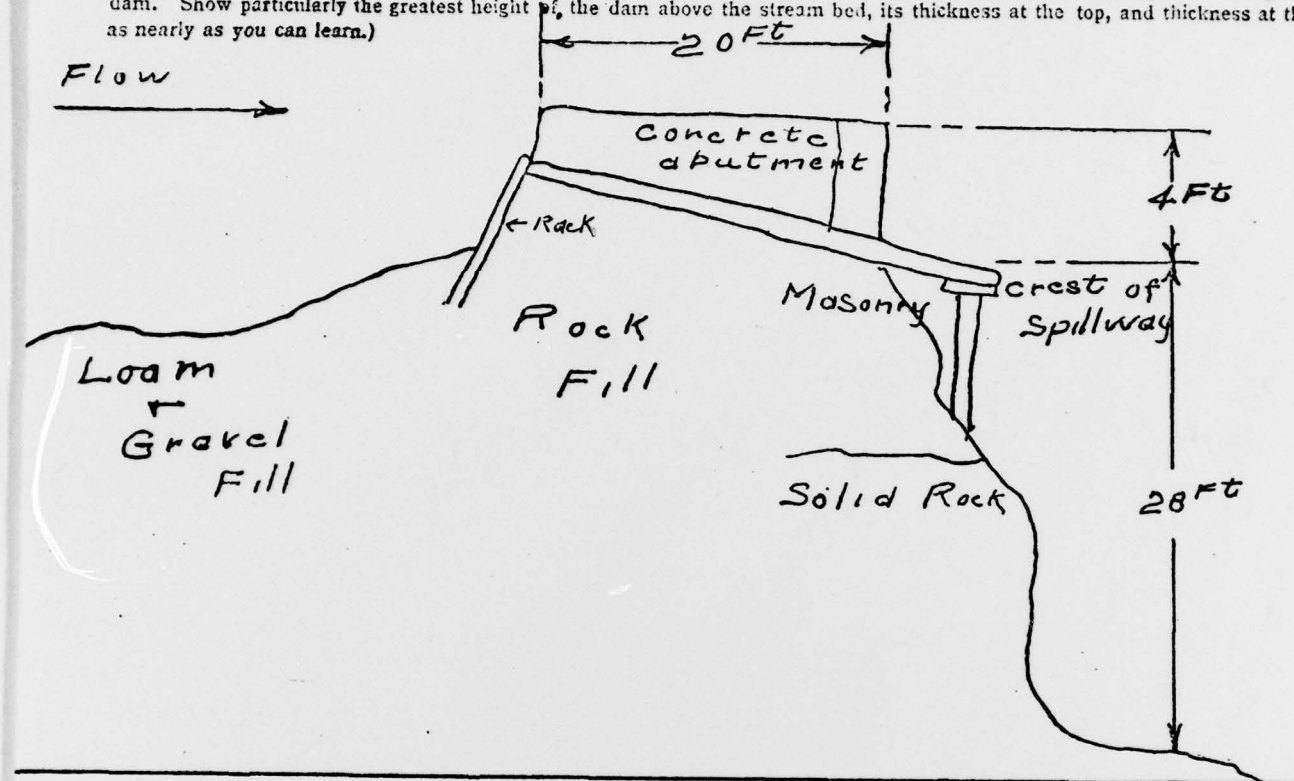
As it now stands, the spillway portion of this dam is built of timber + masonry
(State whether of masonry, concrete or timber)
and the other portions are built of masonry with rock fill
(State whether of masonry, concrete, earth or timber with or without rock fill)

As nearly as I can learn, the character of the foundation bed under the spillway portion of the dam is solid rock and under the remaining portions such foundation bed is rock + loam.

(In the space below, make a third sketch showing the general plan of the dam, and its approximate position in relation to buildings or other conspicuous objects in the vicinity.)



(In the space below, make one sketch showing the form and dimensions of a cross section through the spillway or waste-weir of this dam and outline the abutment, and a second sketch showing the same information for a cross section through the other portion of the dam. Show particularly the greatest height of the dam above the stream bed, its thickness at the top, and thickness at the bottom, as nearly as you can learn.)



The total length of this dam is 135 feet. The spillway or waste-weir portion, is about 23 feet long, and the crest of the spillway is about 4 feet below the abutment.

The number, size and location of discharge pipes, waste pipes or gates which may be used for drawing off the water from behind the dam, are as follows: Two pipes
3 1/2 feet diameter

At the time of this inspection the water level above the dam was 1 ft. 2 in. ~~below~~ ^{above} the crest of the spillway.

(State briefly, in the space below, whether, in your judgment, this dam is in good condition, or bad condition, describing particularly any leaks or cracks or erosions which you may have observed.)

The dam is in fair condition
A new dam was constructed directly in back of the old one making the width 30 feet in all
On sounding this dam I found that the water was running thru the ~~side~~ dam and down between the old and new and coming out at the bottom
Because of the thickness, the exact place where the water came thru could not be ascertained.

The dam does not show any signs of bulging or giving way.

An old mill would be swept away and severe damage might result down the valley should the dam go out.

Reported by Edwin Hauss
(Signature)

R 710. 1
(Address—Street and number, P. O. Box or R. F. D. route)

Poughkeepsie, N. Y.
(Name of place)